

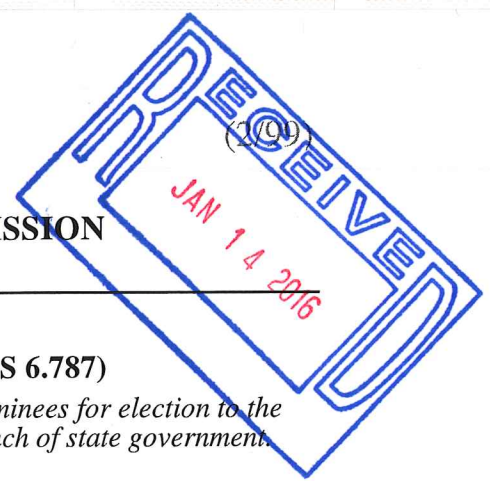
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KENTUCKY LEGISLATIVE ETHICS COMMISSION

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STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

*To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*



**Please Include The Following Information For The Preceding Calendar Year:**

Name: Tim Moore

Business address: Kentucky

Business telephone: (270) 769-5878

Home address: 417 Bates Road Elizabethtown Kentucky 42701

Title of public position, or office sought: State Representative

Other occupations of filer: Pilot

Occupation of spouse: Homemaker

***NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.***

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

Not Applicable

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

Not Applicable

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

See Attached Sheet

Sources and form of gross income of the filer (list sources by name):

See Attached Sheet

Sources and form of gross income of the filer's spouse (list sources by name):

Not Applicable

Positions of a fiduciary nature in a business:

Not Applicable

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

Not Applicable

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.):

Not Applicable

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

Not Applicable

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

Not Applicable

The names of the filer's clients who are legislative agents or employers:

Not Applicable

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? : Yes X No Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency:

Clients

Not Applicable

State Agency

Not Applicable

### NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

January 14, 2016

Date

Tim Moore

Filer

Send completed statements to: The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601  
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

# **Statement of Financial Disclosure – January 11, 2016**

## **Names of all businesses and investments**

### **Stock**

United Parcel Service – Company Stock (Self and Spouse)  
Southwest Airlines – Company Stock (Self and Spouse)  
Mondelez International – Company Stock (Self and Spouse)  
Apple Inc. – Company Stock (Self and Spouse)  
Nicholasville Paint Store (216 Wilson Drive; Nicholasville, KY 40356) - Company  
Stock (Self and Spouse)  
Kindred Biosciences, Inc. – Company Stock (Self and Spouse)

### **IRAs – Fidelity Investments (Mutual Funds)**

IRAs – Fidelity Adv “New Insights” Fund (Self and Spouse)  
IRAs – Fidelity Adv “Emerging Markets” Fund (Self and Spouse)  
IRAs – Fidelity Adv “Mid Cap II” Fund (Self and Spouse)  
IRAs – Fidelity Adv “Intl Discovery” Fund (Self and Spouse)

### **UPS - 401K**

401K – Oppenheimer Developing Market Class 1 (Self and Spouse)  
401K – Vanguard Value Index Inst Mutual Fund (Self and Spouse)  
401K – Spartan Ext Markets Index Advantage Mutual Fund (Self and Spouse)  
401K – Spartan Intl Index FAI Mutual Fund (Self and Spouse)  
401K – Spartan 500 Index Mutual Fund (Self and Spouse)  
401K – Spartan US Bond Adv IS Mutual Fund (Self and Spouse)

### **Schwab**

Schwab Advance “Cash Reserve” Mutual Fund (Self and Spouse)  
AB High Income FD CL Advisor Mutual Fund (Self and Spouse)  
“Doubleline Total Return” Bond Mutual Fund (Self and Spouse)  
Baron “Emrg Mkts FD Inst” Equity Mutual Fund (Self and Spouse)  
Lazard “Intl Strategic” Equity Mutual Fund (Self and Spouse)  
361 “Managed Futures” Mutual Fund (Self and Spouse)  
ALPS Trust ETF Mutual Fund (Self and Spouse)  
IShares Core S&P ETF Mutual Fund (Self and Spouse)  
S P D R S&P 500 ETF Mutual Fund (Self and Spouse)  
S P D R S&P Dividend ETF Mutual Fund (Self and Spouse)

**USAA Funds**

USAA "Performance First" Index Fund (Self and Spouse)  
USAA "Aggressive Growth" Mutual Fund (Self and Spouse)  
USAA "Capital Growth" Mutual Fund (Self and Spouse)  
USAA "Growth & Income" Mutual Fund (Self and Spouse)  
USAA "NASDAQ-100 Index" Mutual Fund (Self and Spouse)  
USAA "Science and Technology" Mutual Fund (Self and Spouse)  
USAA "First Growth Start" Mutual Fund Accounts (all minor children)

**Miscellaneous**

USAA Federal Savings Bank Checking and Savings Accounts (Self and Spouse)  
Five Sigma Limited LLC (57 Hearthstone Court, Florence, KY 41042-9345) -  
Investment Partnership (Self)

## **Sources and form of gross income (note for Self and Spouse)**

Salary from United Parcel Service Company (Self)

Salary from United States Air Force – Reserves; DFAS-PMJAB/DE, Denver, CO (Self)

Salary from Kentucky General Assembly (Self)

Interest from Checking and Savings Accounts with USAA Federal Savings Bank (Self and Spouse)

Dividends (and/or Interest) and Capital Gains from .....

United Parcel Service – Company Stock (Self and Spouse)

Southwest Airlines – Company Stock (Self and Spouse)

Mondelez International – Company Stock (Self and Spouse)

Apple Inc. – Company Stock (Self and Spouse)

Nicholasville Paint Store (216 Wilson Drive; Nicholasville, KY 40356) -  
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